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**EASTERN CARIBBEAN SECURITIES REGULATORY COMMISSION**



**FORM 5**

**REGISTER OF SECURITIES**

Revised  
August 2017

**EASTERN CARIBBEAN SECURITIES REGULATORY COMMISSION**

**FORM 5**

**REGISTER OF SECURITIES**

**Name of person having an interest:** \_\_\_\_\_

<i>Particulars*</i>	<i>Acquired</i>	<i>Disposed of</i>	<i>Balance</i>

<i>Date</i>	<i>Name of Security</i>	<i>Unit Price</i>	<i>No. of Units</i>	<i>Cost</i>	<i>No. of Units</i>	<i>Proceeds</i>	<i>In Units</i>

*\*State how securities were acquired or disposed of and if acquired or disposed of on a securities exchange, give agent's name.*