

Schedule 2

**ISSUER REGISTRATION STATEMENT**  
Sections 97(2), 97(3) and 97(4) of the Securities Act, 2001

**FORM RS - 2**

Select One:  Annual Registration  Issue of Securities

**National Bank of Dominica Ltd**

(Exact name of Company as set forth in Certificate of Incorporation)

Place and date of incorporation:

25 November 2003, Roseau

Street and postal address of registered office:

64 Hillsborough Street, Roseau, Dominica

P.O.Box 271, Roseau, Dominica

Company telephone number: (767) 255-2300

Fax number: (767) 448-3982

Email address: customersupport@nbd.dm

Financial year-end: June 30 2019  
(month) (day) (year)

Contact person(s): Ellingworth Edwards

Macina Bethel

Telephone number (if different from above): (767) 255-2320

Fax number: ( )

Email address: eedwards@nbd.dm/mbethel@nbd.dm

Did the company file all reports required to be filed by Section 98 of the Securities Act, 2001 during the preceding 12 months?

Yes  No

Did the company file all reports required to be filed by the Companies Act during the preceding 12 months?

Yes  No

**1. Description of the Industry in which the Company Operates**

Blank
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**2. Exchanges on which the Company's Securities are Listed**

Exchange(s)	Securities Type	No. of Shares	Valuation
N/A			

**3. Description of Securities Being Offered (including who is the Issuer and who is the Offeror of the Securities)**

N/A
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**4. Territories in which Securities are Being Offered**

Territory	Effective Date
N/A	

5. **Description of Share Capital**

a) Authorised

<b>TYPE/CLASS</b>	<b>No. OF SHARES</b>
Ordinary	24,000,000

b) Issued

<b>TYPE/CLASS</b>	<b>No. OF SHARES</b>
Ordinary	24,000,000

c) Outstanding

<b>TYPE/CLASS</b>	<b>No. OF SHARES</b>
N/A	

**8. SUBSTANTIAL SHAREHOLDERS**

- (a) Principal owners of the company (those who beneficially own more than 5% of the common and preferred shares presently outstanding whether directly or indirectly) starting with the largest common shareholder. Indicate by endnote any transaction where the consideration was not cash. State the nature of any such consideration.

**SECURITIES NOW HELD:**

<b>Name: Address:</b>	<b>Class of Shares:</b>	<b>No. of Shares:</b>	<b>% of Total</b>
Government of the Commonwealth of Dominica	Ordinary	11,732,808	48.8%
Dominica Social Security	Ordinary	1,479,528	6.16%

- (b) Include all common shares issuable upon conversion of convertible securities and show conversion rate per share as if conversion has occurred.

**UPON CONVERSION:**

<b>Name: Address:</b>	<b>Class of Shares:</b>	<b>Conversion Rate:</b>	<b>No. of Shares upon Conversion</b>	<b>% of Total*</b>
N/A				

\* Current holding of shares if conversion option were exercised.

**9. Name and Address of Parent**

<b>Name:</b>	<b>Address:</b>	<b>Country of Incorporation:</b>	<b>Countries of Registration (where applicable)</b>	<b>Name of the Exchange(s) on which the company's securities are listed:</b>
N/A				

**10. Name(s) and Address(es) of Subsidiary(ies)**

<b>Name:</b>	<b>Address:</b>	<b>Percentage Ownership:</b>	<b>Name of the Exchange(s) on which the company's securities are listed:</b>
National Investment Corporation Ltd	64 Hillsborough Street	100%	n/a

**11. Name(s) and Address(es) of Affiliate(s)**

<b>Name:</b>	<b>Address:</b>	<b>Name of the Exchange(s) on which the company's securities are listed:</b>
n/a		

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: Anthony John Position: Chairman

Age: 57

Mailing Address: P.O. Box 2235  
Roseau  
Dominica

Telephone No.: 7676160100

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Manager - University Print & Copy Services, Ross University School of Medicine - November 2010 - January 2018

Education (degrees or other academic qualifications, schools attended, and dates):

Bachelor of Science Degree - Computing and Management  
City University School of Medicine - September 1990 - June 1994

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:  
10 - 15 hours a week on average

*Use additional sheets if necessary.*

## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Genevieve M Astaphan Position: Non Exec. Director

Age: 59

Mailing Address: P.O. Box 75, Roseau, Commonwealth of Dominica  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: 767-275-4223

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Managing Director - J. Astaphan & Co. Ltd - 2000 - present. Director responsible for the HR, Finance and Inventory departments

Education (degrees or other academic qualifications, schools attended, and dates):

Bachelor of Arts Degree - University of Western Ontario, London, Canada - 1981  
CPA/CGA - 1992

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*



**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: HAZEL JOHNSON Position: DIRECTOR

Age: 48

Mailing Address: PO BOX 1891, ROSEAU, COMMONWEALTH OF DOMINICA  
\_\_\_\_\_  
\_\_\_\_\_

Telephone No.: (767) 235 8572

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

ATTORNEY AT LAW ; DE FREITAS, DE FREITAS AND JOHNSON CHAMBERS: PARTNER, ACTIVE LEGAL PRACTITIONER

Education (degrees or other academic qualifications, schools attended, and dates):

LEGAL EDUCATION CERTIFICATE; HUGH WOODING LAW SCHOOL 1998  
LLB (HONS), UWI, 1996

Also a Director of the company.  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: Brenton Hilaire Position: Agency Manager  
Age: 34

Mailing Address: Castle Comfort  
Roséau  
Dominica

Telephone No.: 1-767-615-7914

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Assistant General Manager  
Willcher Services Inc  
2013-11 to 2015-12

Agency Manager  
Sagicor Life Inc  
2016-01 to Present

Brief description of current responsibilities are as follows:

- Manage Advisors
- Recruit, Train and Develop Advisors
- Represent the Company in various activities
- Participate in management and sales training programmes
- Provide reports pursuant to my responsibilities

Education (degrees or other academic qualifications, schools attended, and dates):

Qualifications:

- CAT - Certified Accounting Technician
- ALMI - Associate, Life Management Institute
- ACS - Associate, Customer Service
- CC - Competent Communicator

School Attended:

- Dominica Grammar School - 1996-09 to 2001-06
- Dominica State college - 2005-09 to 2007-06
- ACCA - 2005-06 to 2011-12

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: Gibbs Stephenson Position: Director

Age: 47

Mailing Address: P.O. Box 1744  
Roseau, Commonwealth of Dominica

Telephone No.: 1-767-317-7776

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Date of Employment: April 01, 2009
Employer: PDV Caribe Dominica Ltd
Position: Financial Controller
Responsibility: Responsible for overall financial management/reporting of the company.

Education (degrees or other academic qualifications, schools attended, and dates):

Certified Accounting Technician: Association of Chartered Certified Accountants: June 2007
Accredited Director: 2012
Audit Committee Certified: 2015

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Time is assigned as required.

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: RUDAILLE THOMAS Position: DIRECTOR

Age: 43

Mailing Address: 1240 BAY STREET,  
PORTSMOUTH,  
DOMINICA

Telephone No.: 767-235-5184

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

ACCOUNTS EXECUTIVE AT RUDOLPH F. THOMAS & FAMILY LTD.  
EMPLOYED WITH THE COMPANY FOR THE PAST 19 YEARS.  
COMMENCED EMPLOYMENT IN 2000

- CURRENT RESPONSIBILITIES INCLUDE:
- \*MAINTAINING CUSTOMERS ACCOUNTS AND ACCOUNTS RECEIVABLES
  - \*OVERSEE PURCHASING DEPARTMENT
  - \*OVERSEE SALES DEPARTMENT
  - \*MANAGE INVENTORY

Education (degrees or other academic qualifications, schools attended, and dates):

1994-1996  
ATTENDED THE CLIFTON DUPIGNEY COMMUNITY COLLEGE  
MAJORED IN ACCOUNTING AND ECONOMICS

1997-2000  
ATTENDED THE INTER AMERICAN UNIVERSITY OF PUERTO RICO  
MAJORED IN ACCOUNTING AND MANAGEMENT

Also a Director of the company:  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: Lorna Shillingford Charles Position: Director NBD

Age: 49

Mailing Address: P o Box 1548  
roseau  
Dominica

Telephone No.: 767 616 9626

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Finance Manager - Digicel Dominica -2008- Jan 2019

Education (degrees or other academic qualifications, schools attended, and dates):

BSC Accounting University of South Carolina 1994  
ACCA - August 2008

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

# BIOGRAPHICAL DATA FORMS

## EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Phoenix Belfield Position: Director

Age: 37

Mailing Address: Harbour Lane  
Portsmouth  
Commonwealth of Dominica

Telephone No.: 767-285-2901/ 767-316-0798

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

General Manager - Dominica Co-operative Societies League Ltd. (January 2016- Present)- Cooperatives  
Operations Manager- Cabrits Agencies, M& R Trading Ltd. (2015)- Corporate Business Services & Building Material Sales.  
Chairman- Dominica Air & Sea Ports Authority (December 2015-December 2018)- Port Services  
Deputy Chairman- Dominica Air & Sea Ports Authority (December 2018- Present)- Port Services  
Director- Dominica Employers Federation (2018- Present)  
Chairman- CORPEFF -CICL (Insurance)- Insurance  
Director- National Bank of Dominica


Education (degrees or other academic qualifications, schools attended, and dates):

BSc Economics- Universidad de Camaguey- January 2007  
Masters in Business Administration- 2016-Present  
Masters of Science in Strategic Management- 2018- Present

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

Use additional sheets if necessary.

*October 10, 2019* 

## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Ellingworth Edwards Position: Managing Director

Age: 59

Mailing Address: P. O. Box 781,  
Roseau  
Commonwealth of Dominica

Telephone No.: 767-255-2620

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Managing Director - National Bank of Dominica Ltd - July 2014 to present.

- a) Formulate strategic objectives and initiatives
- b) Oversee implementation and execution of strategic plans
- c) Oversee operations of the institution
- d) Provide leadership to management team and general staff body
- e) Lead negotiator on critical matters.

Education (degrees or other academic qualifications, schools attended, and dates):

MBA (Finance) - University of North Texas, USA - 2015  
Post-Graduate Diploma (Mgmt) - Mediterranean Institute of Management, Cyprus - 1993  
MSc: Accounting - North Texas State University, USA - 1988

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

# BIOGRAPHICAL DATA FORMS

## EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Nellisa Cindy Dorival Position: Executive Manager, Banking Service

Age: 36

Mailing Address: P.O. Box 2072, Roseau, Dominica  
P.O. Box 2072, Roseau, Dominica

Telephone No.: 767-275-0964

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2015 - Current:	Executive Manager - Banking Services (National Bank of Dominica)
Summary of Responsibilities:	Management of the Customer Service, Branch Network, ATM Network and Back Office Operations functions of the Bank, through the development, implementation and monitoring/review of strategic initiatives to achieve profitability/growth objectives.
2014 - 2015:	Manager - Credit Underwriting and Monitoring (NBD)
2013 - 2014:	Manager - Portsmouth Area (NBD)
2010 - 2013:	Credit Risk Officer (NBD)

Education (degrees or other academic qualifications, schools attended, and dates):

2010-2014 MSc. International Business	University of London
2003-2008 BSc Accounting & Finance	University of London
2001-2003 Diploma in Banking & Financial Services	University of West Indies
1998-2000 Cambridge A- Levels (Major Business)	Clifton Dupigny Community College
1993-1998 CXC O-Level (Major-Business)	Grandbay Secondary School
Other Professional Certification in:	
Bank Card Operations; Customer Services Sales & Marketing; Anti-Money Laundering Leadership; Lending; International Trade Finance; Credit Experience Management, Training ; Business Writing, Coaching; Executive Management; Qualified Financial; Advisor, Credit Risk Analysis	

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*



## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Lilian Polydore-Williams Position: Executive Manager, HR & Corporat

Age: 49

Mailing Address: 15 Street, Canefield, Commonwealth of Dominica

Telephone No.: 767 275-1095 or 767 255 2353

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

1. Executive Manager - Human Resources and Corporate Services  
Responsible for HR functions including recruitment, training and people development, performance management, disciplinary actions, benefits administration; and responsible for facilities and equipment maintenance, bank-wide procurement, utilities administration, telecommunication services, safekeeping of contracts and administration of electronic database of policies, policy and procedures formulation
2. Executive Manager - Human Resources and Organisational Development  
Responsible for HR functions including recruitment, training and people development, performance management, disciplinary actions, benefits administration.

Education (degrees or other academic qualifications, schools attended, and dates):

MBA - University of Leicester, UK : Completed 2012  
Certificate in Fraud Investigation prevention, Detection and Investigation - Certified Information Security, US  
Certificate Strategic HRM - UWI - Institute of Business (IOB)  
Certificate HRIS Management - UWI - IOB  
Certificate - Project Management and Implementation UWI - IOB  
BSc Management (First Class Honours) - UWI Cave Hill, Barbados - Completed 1999  
Certificate Air Traffic Control - Distinction - Barbados School of Air Traffic Services

Also a Director of the company:  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: CAROL AGNES LAWRENCE Position: EXECUTIVE MNGR, RISK & COM

Age: 48

Mailing Address: 19 Munro Street

Goodwill

Dominica

Telephone No.: 767 316 7063

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2010 to June 2015 - Regulatory Compliance Officer, National Bank of Dominica  
June 2015 to present- Executive Manager, Risk and Compliance

Responsibilities include:

Coordination of the Bank's Enterprise Risk Management Program

Safeguard the Bank, and assist in achieving desired strategies through:

Identifying and assessing risks in credit portfolio, including watch-listed and non-performing accounts, recommending provisioning amounts and changes to related processes. Monitors credit exposures against regulations and risk appetite.

Identifying and assessing operational risks

Managing the Business Continuity Function, ensuring mitigation measures are in place for possible periods of disruption

Monitoring Compliance with regulations, standards and policies, alerting management of updates to legislation.

Identifying, assessing and monitoring Anti-Money Laundering risks making recommendations for mitigating measures

Identifying and assessing risks related to bank's capital adequacy requirements

Participating in the Bank's Asset Liability Management Process as a member of the ALCO committee

Reviewing investment related information

Review of / drafting risk related policies

Education (degrees or other academic qualifications, schools attended, and dates):

Bachelor of Business Administration, Honors, Concentration in Finance, University of Technology, Jamaica. 1998

Certified Anti-Money Laundering Specialist CAMS, June 2014

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

# BIOGRAPHICAL DATA FORMS

## EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Marilyn Edwards Position: Head of Internal Audit

Age: 54

Mailing Address: Apt 1 B Block 2 Riverside Apts, Roseau, Dominica  
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\_\_\_\_\_

Telephone No.: 767 235 7585

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Head of Internal Audit

- Developing and implementing Annual Internal Audit Plan for the Bank
- Providing reports to the Board and Management
- Liaising with the External Auditors and regulatory authority
- Developing and Maintaining Internal Audit Quality Assurance and Improvement Program.
- Monitoring the implementation of correction actions coming from audit findings.
- Managing the overall workflow of the Internal Audit department.

Education (degrees or other academic qualifications, schools attended, and dates):

Certified Internal Auditor – The Institute of Internal Auditing, September 2011  
Master's in Business Administration – University of Leicester, January 2007  
Bachelor of Arts - Accounting (Summa Cum Laude), University of the Virgin Islands, St Thomas USVI, May 1995

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

N/a.

*Use additional sheets if necessary.*

## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Macina Bethel Position: Company Secretary  
Age: 36

Mailing Address: P.O.Box 433  
Roseau, Dominica

Telephone No.: 767-275-2817

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

October 2018- Present Company Secretary , National Bank of Dominica Ltd.  
1. taking accurate and timely minutes of Meetings, recording all decisions and policies made by the Board and various sub-committees of the Board and communicating same to authorized management, staff, and other entities as relevant and appropriate,  
2. Prepares Board and Committee Notices, Agendas and Reports on proceedings for approval by the Chairman of the Board and/or Committees.  
3. Organize and oversees orientation of new directors to the Board; serves as a primary contact for directors;  
4. drafts and prepares correspondence, memoranda etc for the Chairman of the Board;  
5. Provides information to directors, including orientation of new directors to the Board;  
6. Advise on the Board on Corporate Governance issues.  
7. Responds in a timely and accurate manner to shareholder queries, including queries on the method of share transfers;  
8. Devises and monitors policies in relation to share transfers and shareholders interactions.  
9. Organizes and oversees shareholders forum events and meetings  
10. Determines the budget of the Board of Directors and monitors the administration of the current budget, regarding directors expenses  
11. Oversees regulatory and corporate governance aspects in relation to Annual General Meeting and other shareholders forum;  
12. Prepares Agenda, Notices and ballots and other official documents of the Annual General Meeting;

Education (degrees or other academic qualifications, schools attended, and dates):

2015: Master Degree in International Business (Merit)  
University of London  
2015: Associate Degree of Science in Paralegal Studies (Distinction)  
University of the West Indies Open Campus  
2010: Bachelor Degree of Science in Management Studies (First Class Honour and Nominee for Valedictorian of the Open Campus)  
University of the West Indies Open Campus  
2007: Associate Degree of Arts - Faculty of Education  
Dominica State College  
2001: Cambridge Advanced Level (A-Level)

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: SUZANNE JOSPEL-PIPER Position: Executive Manager, Marketing & Pr

Age: 52

Mailing Address: MORNE DANIEL, DOMINICA  
\_\_\_\_\_  
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Telephone No.: 255 2655 (W), 275 3375 (M)

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Executive Manager, Marketing and Product Management – National Bank of Dominica Ltd. – 2009 to present.  
Responsible for all marketing functions including Product Management, Research and Development, Product Marketing, Communications and PR, Brand Management.

Education (degrees or other academic qualifications, schools attended, and dates):

UNIVERSITY OF MINNESOTA, Carlson School of Management, Twin Cities, Minnesota  
Master of Business Administration, Strategy and Marketing, May 2005  
  
FLORIDA ATLANTIC UNIVERSITY (FAU), Boca Raton, Florida.  
Bachelor of Business Administration, Accounting major, December 1996

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Linda Toussaint- Peter Position: Chief Financial Officer

Age: 51

Mailing Address: Fond Baron, Loubiere  
Fond Baron, Loubiere  
Dominica

Telephone No.: 1 767 44 82117 / 275 1781

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Chief Financial Officer - March 2016 - Present

Executive Manager Credit & Business Development - September 2014 - March 2016

Executive Manager Corporate Services - October 2012 - September 2014

Executive Manage Finance & Control - September 2010 - September 2012

**Current Responsibilities**

To provide strong support to the Managing Director and Board in the effective and efficient management of the Finance and Accounting funds of the NBD Group ensuring the provision of sound financial advice, effective policy formulation, accurate and comprehensive financial accounts and management reports in accordance with IFRS.

Oversee all finance, accounting, forecasting, budgeting and treasury functions of the NBD Group and the profitability and efficient cash management in accordance with standards and regulatory guidelines.

Education (degrees or other academic qualifications, schools attended, and dates):

FCCA  
ACCA  
BSC Accounting - University of the West Indies St Augustine

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

## BIOGRAPHICAL DATA FORMS

### EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: Joël Denis Position: Executive Manager, Credit & Business

Age: 39

Mailing Address: Castle Comfort,  
P.O. Box 513, Roseau  
Commonwealth of Dominica

Telephone No.: (767) 255-2614

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Executive Manager Credit & Business Development  
National Bank of Dominica Ltd  
March 15, 2016 to present

Head of Credit Department -- Strategic and operational oversight. Credit Review and approval. Risk Management. Recoveries and Collections. Human Resource Management.

Manager Credit & Business Development  
National Bank of Dominica Ltd  
October 2014 to March 2016

Head of sales and relationship management unit. Manage team responsible for growing and management loans portfolio. Broad oversight of relationship management. Human Resource management.

Acting General Manager  
Caribbean Union Bank Ltd  
January 2015 to April 2015.

Education (degrees or other academic qualifications, schools attended, and dates):

Post Graduate Diploma in International Management  
University of London (2010)

Qualified Financial Advisor  
Kaplan Financial (2009)

BSc. Management Studies  
University of the West Indies (2008)

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

# BIOGRAPHICAL DATA FORMS

## EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: FERNILLIA FELIX Position: GENERAL COUNSEL

Age: 37

Mailing Address: FERNILLIA FELIX, POREE, POINTE MICHEL, DOMINICA  
\_\_\_\_\_  
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Telephone No.: 767-275-0084/ 767-614-6033

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

POSITION:	STATE ATTORNEY
EMPLOYER:	MINISTRY OF JUSTICE, IMMIGRATION AND NATIONAL SECURITY GOVERNMENT OF THE COMMONWEALTH OF DOMINICA
DATE OF EMPLOYMENT:	AUGUST 2012 - DECEMBER 31ST 2018

Education (degrees or other academic qualifications, schools attended, and dates):

BIRBECK UNIVERSITY-	SEPTEMBER 2016- MAY 2017	LLM HUMAN RIGHTS
HUGH WOODING LAW SCHOOL	SEPTEMBER 2010- MAY 2012	LEGAL EDUCATION CERTIFICATE
UNIVERSITY OF THE WEST INDIES CAVEHILL CAMPUS	SEPTEMBER 2007- MAY - 2010	BACHELOR OF LAWS ( LLB ) UPPER SECOND CLASS HONOURS
CLIFTON DUPIGNY COMMUNITY COLLEGE	SEPTEMBER 1999-JUNE 2001	A & A/O LEVELS: ENGLISH, HISTORY SOCIOLOGY
COVENTRY HIGH SCHOOL	SEPTEMBER 1994-JUNE 1999	GCE

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*



**SIGNATURES**

A Director, the Chief Executive and Corporate Secretary shall sign this Registration Statement on behalf of the company. By so doing each certifies that he has made diligent efforts to verify the material accuracy and completeness of the information herein contained.

Name of Chief Executive Officer:

Ellingworth Edwards

\_\_\_\_\_

Signature

*Edwards*

Date

*Oct. 24, 2019*

Name of Director:

Brenton Hilaire

\_\_\_\_\_

Signature

*Brenton Hilaire*

Date

*31-10-19*

Name of Corporate Secretary:

Macina Bethel

*Bethel*

Signature

*Oct 28 2019*

Date